

# Features in the primordial spectra from effective theory viewpoint

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Based on [JG](#) and M. Yamaguchi, to appear

# Outline

- 1 Introduction
- 2 Features in the power spectrum
- 3 Features in the bispectrum
- 4 Conclusions

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# Why inflation?

Inflation can provide otherwise finely tuned initial conditions

## Hot big bang

- Horizon problem
- Flatness problem
- Monopole problem
- Initial perturbations

## Inflation

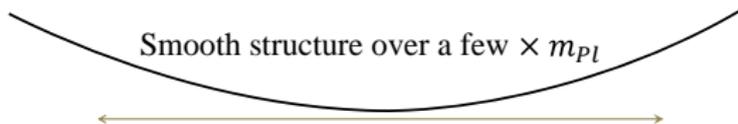
- Single causal patch
- Locally flat
- Diluted away
- Quantum fluctuations

Predictions of inflation are consistent with observations, but...

# Why bothering about extra structure?

Observations seem to prefer long enough slow-roll inflation

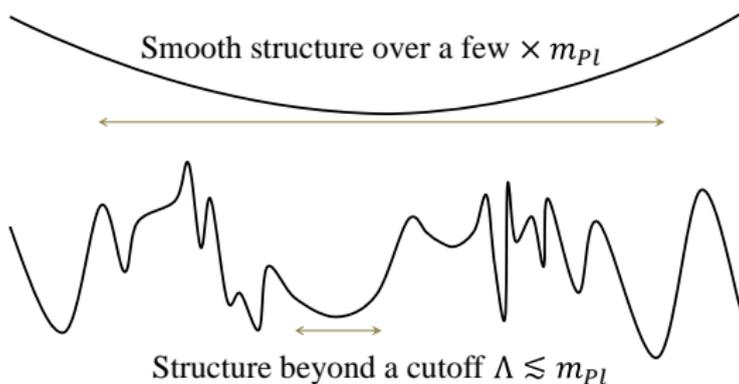
$$\mathcal{L}_{\text{eff}}[\phi] = \underbrace{\mathcal{L}_0[\phi]}_{\text{slow-roll}}$$



# Why bothering about extra structure?

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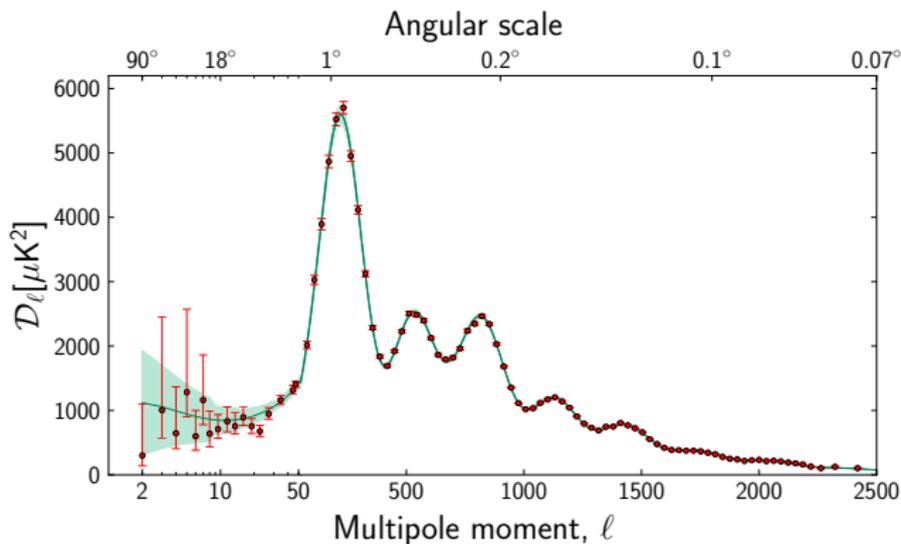
$$\mathcal{L}_{\text{eff}}[\phi] = \underbrace{\mathcal{L}_0[\phi]}_{\text{slow-roll}} + \underbrace{\sum_n c_n \frac{\mathcal{O}_n[\phi]}{\Lambda^{n-4}}}_{\text{EFT allows these}}$$



EFT introduces sub-cutoff structure: **tension with observations**

# Why features in the primordial spectrum?

- Intervening structure gives signals with significant deviation
- Tantalizing observational hints: have we already seen?



# Why correlated features in the primordial spectra?

- Effects of deviations permeate the whole inflationary system
- All correlation functions are correlated
- New observational handle

Q: How **features** are **correlated** in correlation functions  
in the **effective theory** viewpoint?

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# Action for the Goldstone mode

In the decoupling limit with  $\pi = -\mathcal{R}/H$  (Cheung et al. 2008)

$$S_\pi = \int d^4x \sqrt{-g} \left\{ \frac{m_{\text{Pl}}^2}{2} R - m_{\text{Pl}}^2 \dot{H} \left[ \dot{\pi}^2 - \frac{(\nabla\pi)^2}{a^2} \right] \right. \quad \rightarrow \text{usual SR}$$

$$\left. + 2M_2^4 \left[ \dot{\pi}^2 + \dot{\pi}^3 - \dot{\pi} \frac{(\nabla\pi)^2}{a^2} \right] - \frac{4}{3} M_3^4 \dot{\pi}^3 + \dots \right\} \rightarrow \text{departure}$$

- $M_n^4$  is in principle independent from each other
- $M_2^4$  common to  $S_2$  and  $S_3$ : 2- & 3-pt fct are explicitly correlated

(cf. Achucarro et al. 2013, [JG](#), Schalm & Shiu 2014)

- $M_3^4$  is on general argument  $\mathcal{O} \left[ (M_2^4)^2 \right]$  so we neglect it

(Achucarro et al. 2012)

# Features in the power spectrum

- ① Consider  $M_2^4$  as a perturbation:

$$S_2 = \underbrace{S_{2,\text{free}}}_{\text{no } M_2^4} + \underbrace{\int d^4 x a^3 2M_2^4(t) \dot{\pi}^2}_{\equiv S_{2,\text{int}}}$$

- ② Follow the in-in formalism: with  $H_{2,\text{int}} = \int d^3 x a^3 (-2M_2^4) \dot{\pi}^2$

$$\begin{aligned} \Delta \langle \pi_{\mathbf{k}} \pi_{\mathbf{q}}(\eta) \rangle &= i \int_{\eta_0}^{\eta} a d\eta' \langle 0 | [H_{2,\text{int}}(\eta'), \pi_{\mathbf{k}} \pi_{\mathbf{q}}(\eta)] | 0 \rangle \\ &\equiv (2\pi)^3 \delta^{(3)}(\mathbf{k} + \mathbf{q}) \frac{2\pi^2}{k^3} \Delta \mathcal{P}_{\pi} \end{aligned}$$

- ③  $\Delta \mathcal{P}_{\pi}$  is given by  $M_2^4$ : with  $\mathcal{P}_{\pi} = \mathcal{P}_{\mathcal{R}} / H^2 = (8\pi^2 m_{\text{pl}}^2 \epsilon)^{-1}$ ,

$$\frac{\Delta \mathcal{P}_{\pi}}{\mathcal{P}_{\pi}} = \frac{\Delta \mathcal{P}_{\mathcal{R}}}{\mathcal{P}_{\mathcal{R}}} = \frac{k}{\epsilon m_{\text{pl}}^2 H^2} \int_{-\infty}^0 d\eta (-2M_2^4) \sin(2k\eta)$$

# Inverting the power spectrum

- ① With  $\widehat{\pi}_k(-\eta) = -\widehat{\pi}_k^*(\eta)$ , we can oddly extend  $M_2^4$  to *define*  $\widetilde{M}_2^4$  as

$$\widetilde{M}_2^4(\eta) = \begin{cases} M_2^4(\eta) & \text{if } \eta < 0 \\ -M_2^4(-\eta) & \text{if } \eta > 0 \end{cases}$$

- ② This extends the time integral from  $(-\infty, 0)$  to  $(-\infty, \infty)$ :

$$\frac{\Delta \mathcal{P}_\pi}{\mathcal{P}_\pi} = -\frac{k}{\epsilon m_{\text{Pl}}^2 H^2} \int_{-\infty}^{\infty} d\eta \widetilde{M}_2^4 \sin(2k\eta)$$

- ③ Using  $\sin(2k\eta) = (e^{2ik\eta} - e^{-2ik\eta}) / (2i)$  we can invert this relation

$$\widetilde{M}_2^4(\eta) = i \frac{\epsilon m_{\text{Pl}}^2 H^2}{\pi} \int_{-\infty}^{\infty} \frac{dk}{k} \frac{\Delta \mathcal{P}_\pi}{\mathcal{P}_\pi}(k) e^{2ik\eta}$$

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# Bispectrum

From

$$S_3 = \int d^4x \sqrt{-g} 2M_2^4 \left[ \dot{\pi}^3 - \dot{\pi} \frac{(\nabla\pi)^2}{a^2} \right]$$

the standard in-in formalism calculation gives

$$B_\pi(k_1, k_2, k_3) = i\hat{\pi}_{k_1}^* \hat{\pi}_{k_2}^* \hat{\pi}_{k_3}^* (0) \int_{-\infty}^{\infty} d\eta \left( -2a\widetilde{M}_2^4 \right) \left[ 6\hat{\pi}'_{k_1} \hat{\pi}'_{k_2} \hat{\pi}'_{k_3}(\eta) + 2(\mathbf{k}_1 \cdot \mathbf{k}_2) \hat{\pi}_{k_1} \hat{\pi}_{k_2} \hat{\pi}'_{k_3} + 2 \text{ perm} \right]$$

We can replace  $\widetilde{M}_2^4$  with  $\Delta\mathcal{P}_\pi/\mathcal{P}_\pi$  by trading  $\eta$  with a deriv w.r.t.  $k$ :

$$\int_{-\infty}^{\infty} d\eta \eta e^{i(2k-K)\eta} = \int_{-\infty}^{\infty} d\eta \frac{1}{2i} \frac{d}{dk} e^{i(2k-K)\eta} = \frac{\pi}{2i} \frac{d}{dk} \delta\left(k - \frac{K}{2}\right)$$

# Bispectrum correlated with power spectrum

Bispectrum is specified by power spectrum and its first 2 derivs:

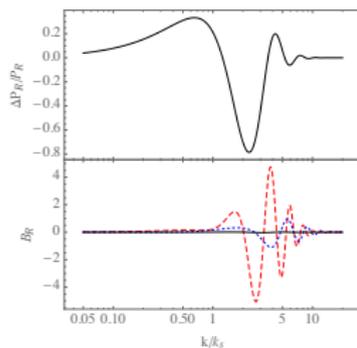
$$\underbrace{B_{\pi}(k_1, k_2, k_3)}_{=-H^{-3} B_{\mathcal{R}}} = \frac{(2\pi)^4}{(k_1 k_2 k_3)^2} \underbrace{\mathcal{P}_{\pi}^2}_{=H^{-4} \mathcal{P}_{\mathcal{R}}^2} \frac{H}{k_1 k_2 k_3} \\ \times \left[ A(k_1, k_2, k_3) \frac{\Delta \mathcal{P}_{\mathcal{R}}}{\mathcal{P}_{\mathcal{R}}} + B(k_1, k_2, k_3) (n_{\mathcal{R}} - 1) \right. \\ \left. + C(k_1, k_2, k_3) \alpha_{\mathcal{R}} \right]$$

$$A(k_1, k_2, k_3) = -\frac{1}{K^2} \sum_{i \neq j} k_i^2 k_j^3 + \frac{2}{K} \sum_{i > j} k_i^2 k_j^2 - \frac{1}{4} \sum_i k_i^3$$

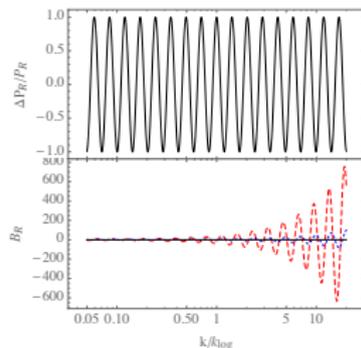
$$B(k_1, k_2, k_3) = \frac{2}{K^2} \sum_{i \neq j} k_i^2 k_j^3 - \frac{3}{K} \sum_{i > j} k_i^2 k_j^2 + \frac{1}{4} \sum_{i \neq j} k_i k_j^2 - \frac{1}{4} k_1 k_2 k_3$$

$$C(k_1, k_2, k_3) = -\frac{1}{K^2} \sum_{i \neq j} k_i^2 k_j^3 + \frac{1}{K} \sum_{i > j} k_i^2 k_j^2 - \frac{1}{4} k_1 k_2 k_3$$

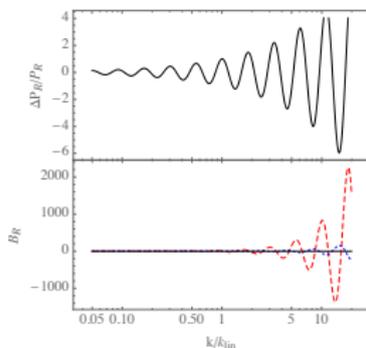
# Examples: parametrized feature models in Planck 2015



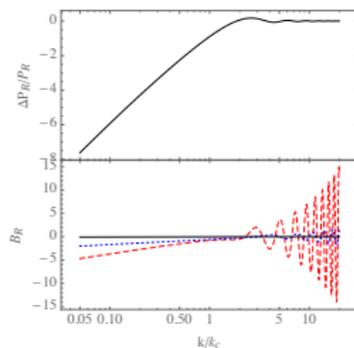
(a) Step



(b) Log oscillations



(c) Linear oscillations



(d) Cutoff

# Squeezed configuration and consistency relation

In the squeezed configuration ( $k_1 \approx k_2$  and  $k_3 \rightarrow 0$ )  $B_{\mathcal{R}} \rightarrow 0$

- $M_2^4$  first captures the speed of sound (Cheung et al. 2008a):

$$c_s^{-2} = 1 - \frac{2M_2^4}{m_{\text{Pl}}^2 \dot{H}}$$

- Effects other than  $c_s$  also exist but suppressed:

$$\dot{\pi} = -\frac{\dot{\mathcal{R}}}{H} \underbrace{-\epsilon \mathcal{R}}_{=+H\epsilon\pi}$$

Cubic terms with different deriv structure:  $\dot{\pi}^2 \pi$  and  $\pi(\nabla\pi)^2$

(Cheung et al. 2008b)

- We need next-to-leading terms in the decoupling limit: cancellation up to  $\mathcal{O}(1/c_s^2)$  and  $\mathcal{O}(\epsilon/c_s^2)$  (Renaux-Petel 2010)

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# Conclusions

- Features can provide information beyond slow-roll
- Features in correlation functions are all correlated
  - Leading EFT expansion coefficient  $M_2^4$  sources  $\Delta\mathcal{P}_{\mathcal{R}}$
  - We can find  $B_{\mathcal{R}} = B_{\mathcal{R}}(\mathcal{P}_{\mathcal{R}}, n_{\mathcal{R}}, \alpha_{\mathcal{R}})$
- Different features in  $\mathcal{P}_{\mathcal{R}}$  leads to distinctive  $B_{\mathcal{R}}$